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PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/518,322-Conf. #6740 ✓
	Filing Date	July 8, 2005
	First Named Inventor	Alon Shalev
	Art Unit	N/A
	Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	Attorney Docket Number	06727/1200798-US1

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below): PTO SB/08 with 23 references; Certificate of Express Mailing; and Return Receipt Postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	DARBY & DARBY P.C.		
Signature			
Printed name	S. Peter Ludwig		
Date	January 27, 2006	Reg. No.	25,351

Express Mail Label No.

Dated: _____



Customer No.: 07278

Docket No.: 06727/1200798-US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Alon SHALEV

Serial No.: 10/518,322

Filed: December 14, 2004

For: METHODS AND SYSTEMS FOR MANAGEMENT OF
ALZHEIMER'S DISEASE

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is submitted in accordance with 37 C.F.R. 1.97, 1.98, and it is requested that the information set forth in this statement and in the listed documents be considered during the pendency of the above-identified application, and any other application relying on the filing date of the above-identified application or cross-referencing it as a related application.

1. This IDS should be considered, in accordance with 37 C.F.R. 1.97, as it is filed: (Check one of the boxes A-D)

- ☐ A. Within three months of the filing date of the above-identified national application or within three months of the entry into the national stage of the above-identified international application.
- ☒ B. before the mailing date of a first office action on the merits, or a first office action after filing a request for continued examination.
- ☐ C. after (A) and (B) above, but before final rejection or allowance, and Applicants have made the necessary statement in box "i" below or paid the necessary fee in box "ii" below.

(check one of the boxes "i" and "ii" below:)

- ☐ i. Counsel states that, upon information and belief, each item of information listed herein was
(check one of boxes (a) or (b))
- ☐ (a) first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
- ☐ (b) was not cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of undersigned after making reasonable inquiry, was not known to any individual designated in 1.56(c) more than three months prior to the filing of this IDS.
- ☐ ii. A check for the fee set forth in 1.17(p), presently believed to be \$180, is enclosed.
- ☐ D. after (A), (B) and (C) above, but before payment of the issue fee: Applicant petitions under 37 C.F.R. 1.97(d) for the consideration of this IDS. Under 37 CFR 1.17(i) a check in the amount of \$180.00 is enclosed. Counsel certifies that, upon information and belief, each item of information listed herein was
(check one of boxes (a) or (b))
- ☐ (a) first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
- ☐ (b) was not cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of undersigned after making reasonable inquiry, was not known to any individual designated in 1.56(c) more than three months prior to the filing of this IDS.

2. In accordance with 37 C.F.R. 1.98, this IDS includes a list (e.g., form PTO/SB/08) of all patents, publications, or other information submitted for consideration by the office, either incorporated into this IDS or as an attachment hereto. A copy of each document listed is attached, except as explained below.

(check boxes A, B and/or C and fill in blanks, if appropriate.)

- ☐ A. Pursuant to the Notice issued by the United States Patent and Trademark Office dated July 11, 2003 waiving the requirements of 37 C.F.R. § 1.98(a)(2)(i), a copy/copies of the United States Patent on PTO/SB08 is/are not being submitted.
- ☐ B. Document(s) _____ is (are) deemed substantially cumulative to document(s) _____, and, in accordance with 1.98(c), only a copy of each of the latter documents is enclosed.
- ☐ C. Certain documents were previously cited by or submitted to the Office in the following prior applications, which are relied upon under 35 U.S.C. 120:

[SERIAL NO. & FILING DATE].

Applicant identifies these documents by attaching hereto copies of the forms PTO-892, PTO-1449 and/or PTO/SB/08 from the files of the prior application(s) or a fresh PTO/SB/08 listing these documents, and request that they be considered and made of record in accordance with 1.98(d). Per 37 CFR 1.98(d), copies of these documents need not be filed in this application.

☐ 3. Cite Nos. _____ are not in the English language. In accordance with 1.98(c), Applicant states:

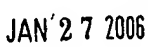
- ☐ An English translation of each document (or of the pertinent portions thereof), or a copy of each corresponding English-language patent or application, or English-language abstract (or claim) is enclosed.
- ☐ The requirement for a concise explanation of the relevance of any foreign language document is satisfied by the attached search report; citation of the documents cited in the search report shall not be construed as an admission that they are or are considered to be, material to patentability of the subject matter claimed herein (See MPEP §609).
- ☐ A concise explanation of the relevance of document(s) _____ is set forth as follows: [Insert concise explanation of relevance]
- ☐ A concise explanation of the relevance of document(s) _____ can be found on page(s) _____ of the specification.
- ☐ A concise explanation of document(s) _____ can be found on the attached sheet.

☐ 4. No explanation of relevance is necessary for documents in the English language (see reply to Comments 67 in the preamble to the final rules; 1135 OG 13 at 20).

☐ 5. Other information being provided for the examiner's consideration follows:

[A/An _____ Search Report, dated _____, which issued during the prosecution of _____ Application No. _____ which corresponds to the present application.]


6. In accordance with 37 C.F.R. 1.97(g) and (h), the filing of this IDS should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in §1.56 (b), or that any cited document listed or attached is (or constitutes) prior art. Unless other-wise indicated, the



Attorney Docket No.: 06727/1200798-US1

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Signature _____

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Registration Number, if applicable

Telephone Number

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Information Disclosure Statement (4 pages)
PTO SB/08 with 23 references
Transmittal Form (1 page)
Return Postcard



PTO/SB/08a/b (07-05)

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Substitute for form 1449A/B/PTO				Complete if Known	
				Application Number	10/518,322-Conf. #6740
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Filing Date	July 8, 2005
				First Named Inventor	Alon Shalev
				Art Unit	N/A
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	06727/1200798-US1
Sheet	1	of	6		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA*	US-2004/0015068-A1	01/2004	Shalev, et al.	
	AB*	US-2003/0176898-A1	09/2003	Gross, et al.	
	AC*	US-2003/0176892-A1	09/2003	Shalev	
	AD*	US-5,756,071-B1	05/1998	Mattern, et al.	
	AE*	US-2002/0052311-A1	05/2002	Solomon, et al.	
	AF*	US-5,059,415-B1	10/1991	Neuwelt	
	AG*	US-4,866,042-B1	09/1989	Neuwelt	
	AH*	US-6,117,454-B1	09-12-2000	Kreuter et al.	
	AI*	US-5,268,164-B1	12/1993	Kozarich, et al.	
	AJ*	US-6,005,004-B1	12/1999	Katz, et al.	
	AK*	US-5,833,988-B1	11/1998	Friden	
	AL*	US-2002/0055501-A1	05/2002	Olson, et al.	
	AM*	US-2002/0035145-A1	03/2002	Tsai, et al.	
	AN*	US-2001/0051633-A1	12/2001	Bigge, et al.	
	AO*	US-2001/0047014	11/2001	Alanine, et al.	
	AP*	US-2002/0028834-A1	03/2002	Villalobos, et al.	
	AQ*	US-2002/0040032-A1	04/2002	Glasky, et al.	
	AR*	US-2002/0019519-A1	12/2002	Bingham, et al.	
	AS*	US-2002/0025955	02/2002	Han, et al.	
	AT*	US-2002/0022621-A1	02/2002	Chaturvedula, et al.	
	AU*	US-2001/0020097-A1	09/2001	Audia, et al.	
	AV*	US-6,211,235-B1	04/2001	Wu, et al.	
	AW*	US-5,011,472-B1	04/1991	Aebischer, et al.	
	AX*	US-2002/0022650-A1	02/2002	Posmantur, et al.	
	AY*	US-2001/0027309-A1	10/2001	Elsberry	
	AZ*	US-2002/0009445-A1	01/2002	Du, et al.	
	AA1*	US-2002/0022593-A1	02/2002	Yue	
	AB1*	US-2002/0019412-A1	02/2002	Andersen, et al.	
	AC1*	US-2002/0066959-A1	06/2002	Joshi	
	AD1*	US-2002/0042121-A1	04/2002	Riesner, et al.	
	AE1*	US-2002/0028462-A1	03/2002	Tanzi, et al.	
	AF1*	US-2002/0022242-A1	02/2002	Small, et al.	
	AG1*	US-2002/0019519	02/2002	Bingham, et al.	
	AH1*	US-2001/0044126-A1	11/2001	Holtzman, et al.	
	AI1*	US-2002/0019016-A1	02/2002	Vanmechelen, et al.	
	AJ1*	US-2005/0054939-A1	03/2005	Ben-Ari, et al.	
	AK1*	US-6,322,494-B1	11/2001	Bullivant, et al.	
	AL1*	US-2002/0006627-A1	01/2002	Reitz, et al.	
	AM1*	US-2002/0002270-A1	01/2002	Zinkowski, et al.	
	AN1*	US-2001/0018191-A1	08/2001	Mercken, et al.	
	AO1*	US-4,666,829-B1	05/1987	Glenner, et al.	
	AP1*	US-6,526,318-B1	02-25-2003	Ansarinia	
	AQ1*	US-5,031,618	07/1991	Mullett	
	AR1*	US-4,874,694-B1	10/1989	Gandy, et al.	
	AS1*	US-6,358,681-B1	03/2002	Ginsberg, et al.	

Examiner Signature		Date Considered	
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Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/518,322-Conf. #6740
				Filing Date	July 8, 2005
				First Named Inventor	Alon Shalev
				Art Unit	N/A
				Examiner Name	Not Yet Assigned
Sheet	2	of	6	Attorney Docket Number	06727/1200798-US1

AT1*	US-6,329,531-B1		Turner, et al.
AU1*	US-6,287,793-B1	09/2001	Schenk, et al.
AV1*	US-6,210,895-B1	04/2001	Schipper, et al.
AW1*	US-6,200,768-B1	03/2001	Mandelkow, et al.
AX1*	US-6,132,977-B1	10/2000	Thompson, et al.
AY1*	US-6,114,175-B1	09/2000	Klunk, et al.
AZ1*	US-6,325,475-B1	12/2001	Hayes, et al.
AA2*	US-6,338,715-B1	01/2002	Hayes, et al.
AB2*	US-5,938,690	08/1999	Law, et al.
AC2*	US-6,130,048-B1	10/2000	Nixon
AD2*	US-6,087,118-B1	07/2000	Aronson, et al.
AE2*	US-6,071,705-B1	06/2000	Wands, et al.
AF2*	US-6,001,331-B1	12/1999	Caprathe, et al.
AG2*	US-5,985,581-B1	11/1999	Nixon, et al.
AH2*	US-5,981,194-B1	11/1999	Jefferies, et al.
AI2*	US-5,849,600-B1	12/1998	Nixon, et al.
AJ2*	US-5,830,670-B1	11/1998	De la Monte, et al.
AK2*	US-6,678,553	01/2004	Lerner, et al.
AL2*	US-2003/0191426	10/2003	Lerner, et al.
AM2*	US-6,410,046	06/2002	Lerner
AN2*	US-2002/0068080	06/2002	Lerner
AO2*	US-2002/0082583	06/2002	Lerner
AP2*	US-2002/0123678	09/2002	Lerner, et al.
AQ2*	US-6,432,986	08/2002	Levin
AR2*	US-6,491,940	12/2002	Levin
AS2*	US-2004/0220644	11/2004	Shalev, et al.
AT2*	US-2004/0210269	10/2004	Shalev, et al.
AU2*	US-2005/0074506	07/2005	Natan, et al.
AV2*	US-2005/0159790	07/2005	Shalev, et al.
AW2*	US-6,853,858-A1	09-18-2003	Shalev
AX2*	US-2005/0137646-A1	06-23-2005	Wallace et al.
AY2*	US-2005/0137647-A1	06-23-2005	Wallace et al.
AZ2*	US-2005/0118187-A1	06-02-2005	Yu
AA3	US-2005/011290	05/2005	Ni, et al.
AB3*	US-2004/0136951-A1	07-15-2004	Ni et al.
AC3*	US-2004/0033491-A1	02-19-2004	Alsobrook et al.
AD3*	US-6,811,788	11/2004	Yu
AE3*	US-2003/0232335	12/2003	Surber, et al.
AF3	US-2003/022444	12/2003	Sabbadini, et al.
AG3*	US-2003/0224369	12/2003	Surber, et al.
AH3*	US-2003/0219888	11/2003	Segall, et al.
AI3*	US-2003/0219408	11/2003	Sabbadini, et al.
AJ3*	US-2003/0211599	11/2003	Sabbadini, et al.
AK3*	US-2003/0211086	11/2003	Berkley, et al.
AL3*	US-2003/0207833	11/2003	Berkley, et al.
AM3*	US-2003/0203481	10/2003	Surber, et al.
AN3*	US-2003/0203411	10/2003	Sabbadini, et al.

Examiner Signature		Date Considered	
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Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/518,322-Conf. #6740
				Filing Date	July 8, 2005
				First Named Inventor	Alon Shalev
				Art Unit	N/A
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	06727/1200798-US1
Sheet	3	of	6		

	AO3*	US-2003/0202937	10/2003	Sabbadini, et al.	
	AP3*	US-2003/0199089	10/2003	Surber, et al.	
	AQ3*	US-2003/0199088	10/2003	Sabbadini, et al.	
	AR3*	US-2003/0198996	10/2003	Surber, et al.	
	AS3*	US-2003/0198995	10/2003	Sabbadini, et al.	
	AT3*	US-2003/0194714	10/2003	Sabbadini, et al.	
	AU3*	US-2003/0190749	10/2003	Surber, et al.	
	AV3*	US-2003/0190683	10/2003	Sabbadini, et al.	
	AW3*	US-2003/0190601	01/2003	Sabbadini, et al.	
	AX3*	US-2003/0166279	09/2003	Sabbadini, et al.	
	AY3*	US-2003/0166099	09/2003	Sabbadini, et al.	
	AZ3*	US-6,531,454	03-11-2003	Leary et al.	
	AA4*	US-2002/0044919	04/2002	Yu	
	AB4*	US-6,277,841	08-21-2001	Rajagopalan et al.	
	AC4*	US-5,304,632	04-19-1994	Vaudry et al.	
	AD4*	US-6,232,326	05-15-2001	Nelson	
	AE4*	US-2005/0020519	01/2005	Albiston, et al.	
	AF4*	US-2003/0177514	08/2005	Sasselli	
	AG4*	US-2003/0172390	09/2003	Wisotzkey, et al.	
	AH4*	US-2003/0131367	07/2003	Guenther, et al.	
	AI4*	US-2003/0106083	06/2003	Allen	
	AJ4*	US-2003/0056238	03/2003	Wisotzkey	
	AK4*	US-2003/0051268	03/2003	Allen	
	AL4*	US-2003/0018989	01/2003	Brennan, et al.	
	AM4*	US-2003/0018988	01/2003	Allen, et al.	
	AN4*	US-2003/0014772	01/2003	Allen	
	AO4*	US-2004/0210269	10/2004	Shalev, et al.	
	AP4*	US-2003/0013136	01/2003	Balser, et al.	
	AQ4*	US-2003/0005477	01/2003	Leviten	
	AR4*	US-2002/0169307	11/2002	Klein	
	AS4*	US-2002/0133841	09/2002	Leviten	
	AT4*	US-2002/0026652	02/2002	Allen, et al.	
	AU4*	US-6,905,827-A1	01-15-2004	Wohlgemuth, et al.	
	AV4*	US-5,639,853	06-17-1997	Paradiso et al.	
	AW4*	US-5,223,254	06-29-1993	Paradiso et al.	
	AX4*	US-2004/0153129	08/2004	Pless, et al.	
	AY4*	US-2004/0054297	03/2004	Wingeier, et al.	
	AZ4*	US-6,810,285-A1	01-02-2003	Pless et al.	
	AA5*	US-2003/0195602	10/2003	Boling	
	AB5*	US-2003/0195588	10/2003	Fischell, et al.	
	AC5*	US-2003/0149457	08/2003	Tcheng, et al.	
	AD5	US-12003/0144711	07/2003	Pless, et al.	
	AE5*	US-2003/0074033	04/2003	Pless, et al.	
	AF5*	US-2003/0073917	04/2003	Echaz, et al.	
	AG5*	US-6,662,035-A1	03-13-2003	Sochor	
	AH5*	US-6,647,296-A1	12-13-2001	Fischell et al.	
	AI5*	US-6,618,623	09-09-2003	Pless et al.	

Examiner Signature		Date Considered	
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Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
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				Filing Date	July 8, 2005
				First Named Inventor	Alon Shalev
				Art Unit	N/A
				Examiner Name	Not Yet Assigned
Sheet	4	of	6	Attorney Docket Number	06727/1200798-US1

	AJ5*	US-6,606,521-A1	01-09-2003	Paspa et al.	
	AK5*	US-6,597,954	07-22-2003	Pless et al.	
	AL5*	US-6,597,953-A1	08-22-2002	Boling	
	AM5*	US-6,591,138	07-08-2003	Fischell et al.	
	AN5*	US-6,591,137	07-08-2003	Fischell et al.	
	AO5*	US-6,529,774	03-04-2003	Greene	
	AP5*	US-2002/0099412	07/2002	Pless, et al.	
	AQ5*	US-6,480,743	11-12-2002	Kirkpatrick et al.	
	AR5*	US-6,473,639	10-29-2002	Fischell et al.	
	AS5*	US-6,466,822	10-15-2002	Pless	
	AT5*	US-6,459,936-A1	12-27-2001	Fischell et al.	
	AU5*	US-6,427,086	07-30-2002	Fischell et al.	
	AV5*	US-6,360,122	03-19-2002	Fischell et al.	
	AW5*	US-6,354,299	03-12-2002	Fischell et al.	
	AX5*	US-6,353,754	03-05-2002	Fischell et al.	
	AY5*	US-6,230,049	05-08-2001	Fischell et al.	
	AZ5*	US-6,161,045	12-12-2000	Fischell et al.	
	AA6*	US-6,134,474	10-17-2000	Fischell et al.	
	AB6*	US-6,128,538	10-03-2000	Fischell et al.	
	AC6*	US-6,061,593	05-09-2000	Fischell et al.	
	AD6*	US-6,016,449	01-18-2000	Fischell et al.	
	AE6*	US-6,006,124	12-21-1999	Fischell et al.	
	AF6*	US-5,938,689	08-17-1999	Fischell et al.	
	AG6*	US-6,622,047-A1	01-30-2003	Barrett et al.	
	AH6*	US-6,622,041-A1	02-27-2003	Terry, Jr. et al.	
	AI6*	US-6,622,038-A1	02-20-2003	Barrett et al.	
	AJ6*	US-6,609,025-A1	07-04-2002	Barrett et al.	
	AK6*	US-6,609,956-A1	06-06-2002	Margaria	
	AL6*	US-6,587,719	07-01-2003	Barrett et al.	
	AM6*	US-6,473,644-B1	10/2002	Terry, Jr. et al.	
	AN6*	US-5,928,272	07-27-1999	Adkins et al.	
	AO6*	US-5,707,400-B1	01-13-1998	Terry, Jr.	
	AP6*	US-5,571,150-B1	11-05-1996	Wemicke	
	AQ6*	US-5,540,730-B1	07-30-1996	Terry, Jr.	
	AR6*	US-5,351,394	10-04-1994	Weinberg	
	AS6*	US-5,335,657-B1	08-09-1994	Terry, Jr.	
	AT6*	US-5,330,515	07-19-1994	Rutecki et al.	
	AU6*	US-5,304,206	04-19-1994	Baker, Jr. et al.	
	AV6*	US-5,299,569-B1	04-05-1994	Wemicke	
	AW6*	US-5,269,303	12-14-1993	Wemicke et al.	
	AX6*	US-5,263,480-B1	11-23-1993	Wemicke	
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				Application Number	10/518,322-Conf. #6740
				Filing Date	July 8, 2005
				First Named Inventor	Alon Shalev
				Art Unit	N/A
				Examiner Name	Not Yet Assigned
Sheet	5	of	6	Attorney Docket Number	06727/1200798-US1

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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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				Art Unit	N/A
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Application No. (if known): 10/518,322

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